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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 333855748 US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: 4

4-20-03

(Anthony Soljanich)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Examiner: Not Yet Assigned

Makoto YOKOTA

Group Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

Filing Date: Concurrently Herewith

For: SEMICONDUCTOR LASER DEVICE

AND METHOD OF

MANUFACTURING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted: $|\mathbf{x}|$ With the application; accordingly, no fee or separate requirements are required. П Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. П After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of is enclosed. A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. П A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed. A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

form (PTO/SB/17 is attached to this submission in duplicate.)

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 245402007300. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 20, 2003

Respectfully submitted,

Morrison & Foerster LLP

755 Page Mill Road

Palo Alto, California 94304

Form PTO-1449				Docket Number 245402007300		Application Number Not Yet Assigned	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				Applicant Makoto YOKOTA			
				Filing Date Concurrently Herewith Group Art Unit			Not Yet Assigned
				Mailing Date August 20, 2003			
			U.S. PATEN	T DOCUMENTS			
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	05/30/2002	US 2002/0064200 A1	Oshima, et al			
i 							
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	2.	11/21/1989	01-289289	Japan			Abstract Only (English)
	3.	12/22/1989	01-318270	Japan			Abstract Only (Englilsh)
	4.	04/25/1991	03-101183	Japan		. "	Abstract Only (English)
	5.	10/03/1997	09-260777	Japan			Abstract Only (English)
	6.	06/07/2002	2002-164609	Japan			Abstract Only (English)
			OTHER D	OCUMENTS	(includi	ng author, title, Do	te, Pertinent Pages, Etc.)
Examiner Initials	Ref. No.	Title					
							
		•					
EXAMINER:				1DATE CONSIDERED:			
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.							